THE INSPECTOR GENERAL

OUTLINE

- Organization
- Authority
- Mission/Responsibilities
- Four Functions of the IG
- Role of the IG
- Conclusion

AR 20-1

- Governing Authority for IG's
- Directives to the IG come from the TAG
- IG's are independent and unbiased
- IG's have no command or directive authority
- IG's do not establish policy except with regard to AR 1-201 (Inspection Policy)

IG RESPONSIBILITIES

- Assigned as Personal Staff to the TAG
- Responsible directly to the TAG
- IG's operate as an extension of the eyes, ears, voice, and conscience of the Commander
- Render unbiased, objective opinions based on knowledge or research on demand

MISSION

- Inquire into and periodically report on the discipline, efficiency, economy, morale, training, and readiness of units throughout the Arizona National Guard.
- Four major functions
  - Assistance
  - Inspections
  - Investigations
  - Teaching and Training*

ASSISTANCE FUNCTION

- ALL SOLDIERS HAVE A RIGHT TO SEE THE IG WITHOUT FEAR OF REPRISAL
- Three Questions:
  - →Does the Chain of Command know you're here?
  - Do you have supporting documentation?
  - What do you want the IG to do for you?

ASSISTANCE FUNCTION (Cont.)

- Attempt to solve the problem at the lowest level possible
- Determine appropriateness
  - →Are other forms of redress available?
- Remain fair and impartial
- Complaints received by call-in, write-in, walk-in, referral, or anonymous

Confidentiality

- Key component of IG System
- Encourages voluntary cooperation
- Protect to the maximum extent possible
- Cannot be absolutely guaranteed
  - →IG Records can be obtained with FOIA request
- Seek permission from complainant prior to using their name

IG INSPECTIONS

- AR 20-1 & AR 1-201
- Determine compliance/non-compliance
- ID substandard performance
- Determine magnitude & seek “root cause”
- Pursue any systemic issues
- Teach and Train
- ID responsibility for corrective actions

IG INQUIRY/INVESTIGATION

- Not a court of law
- An administrative proceeding not bound by same rules of evidence
- Cannot be used as basis of adverse action
- Preponderance of evidence
- Purpose is to provide Directing Authority with a sound factual basis for proper action
- Fair and impartial fact finding process

IG INQUIRY

- Informal fact finding process
- Does not require a Directive
- Sworn testimony normally not included
- All types of evidence included
  - Direct Evidence
  - Circumstantial Evidence
  - Opinion
  - Hearsay

IG INVESTIGATION

- Directed by the Adjutant General
- Formal fact finding process
- Detailed IG authorized to administer oath to obtain sworn testimony
- Sworn testimony-Written or Recorded
- Substantiated allegations turned into 15-6 investigations or referred to CID, FBI or other appropriate authority
- Results cannot be used for adverse actions

What Gets Commanders in Trouble

Allegations:

Abuse of Authority or Position

- Failure to investigate
- Failure to take corrective actions
- Failure to support EO programs
- Unlawful Command Influence
- Command Climate Issues
- Dual Standards/Favoritism

Fraud, Waste, and Abuse

- Unnecessary/Excessive Travel
- Questionable Conferences
- “Gold Plate” Renovations
- Misuse of Government Vehicles
- Cellular Telephones

Improper Personnel Action

- Unequal Treatment
- Pre-Selections
- Failure to provide due process
- Reprisal
- Dual Standards/Favoritism

Personal Misconduct

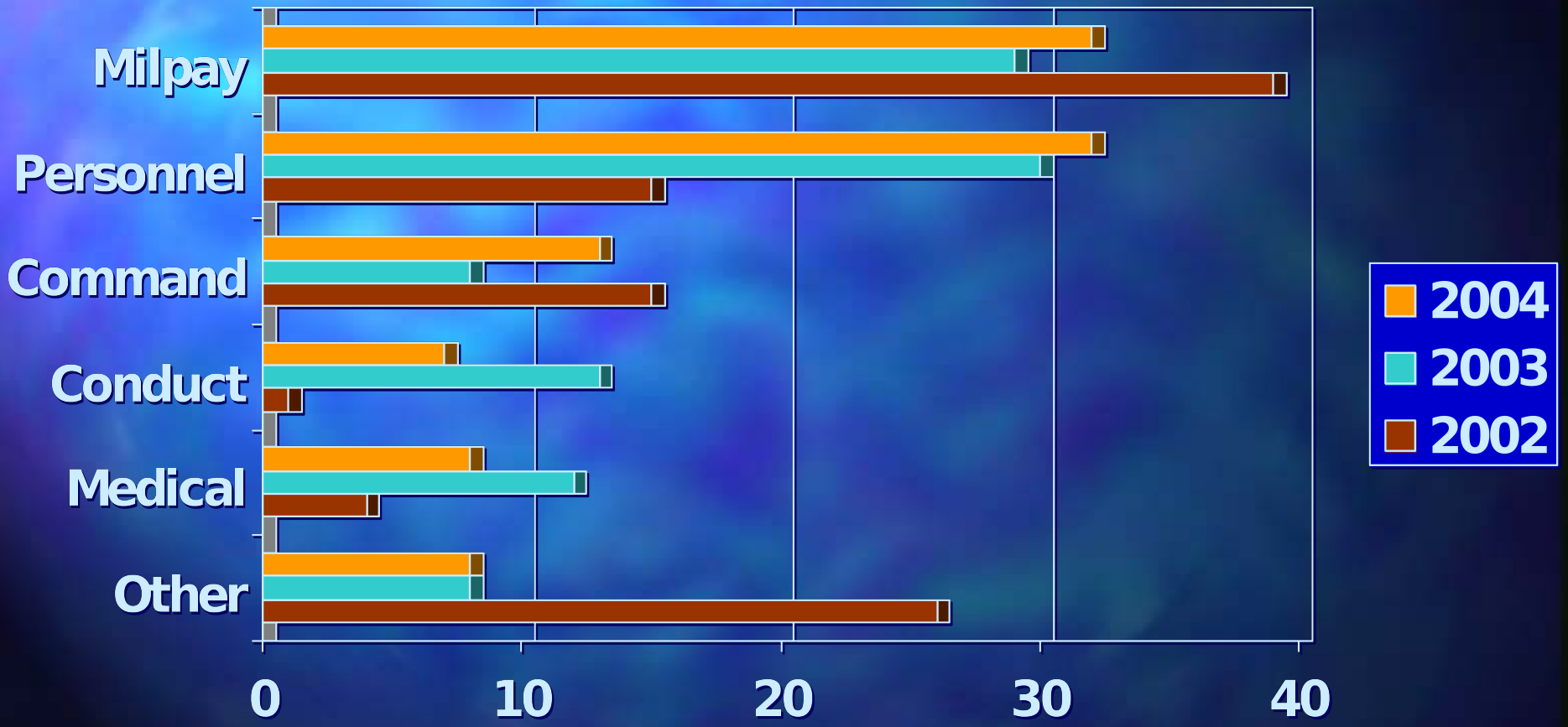
- APFT/Weight Control
- Sexual Misconduct
- Abusive Profane Language
- Sexual Harassment
- Fraternization

ACTION REQUESTS

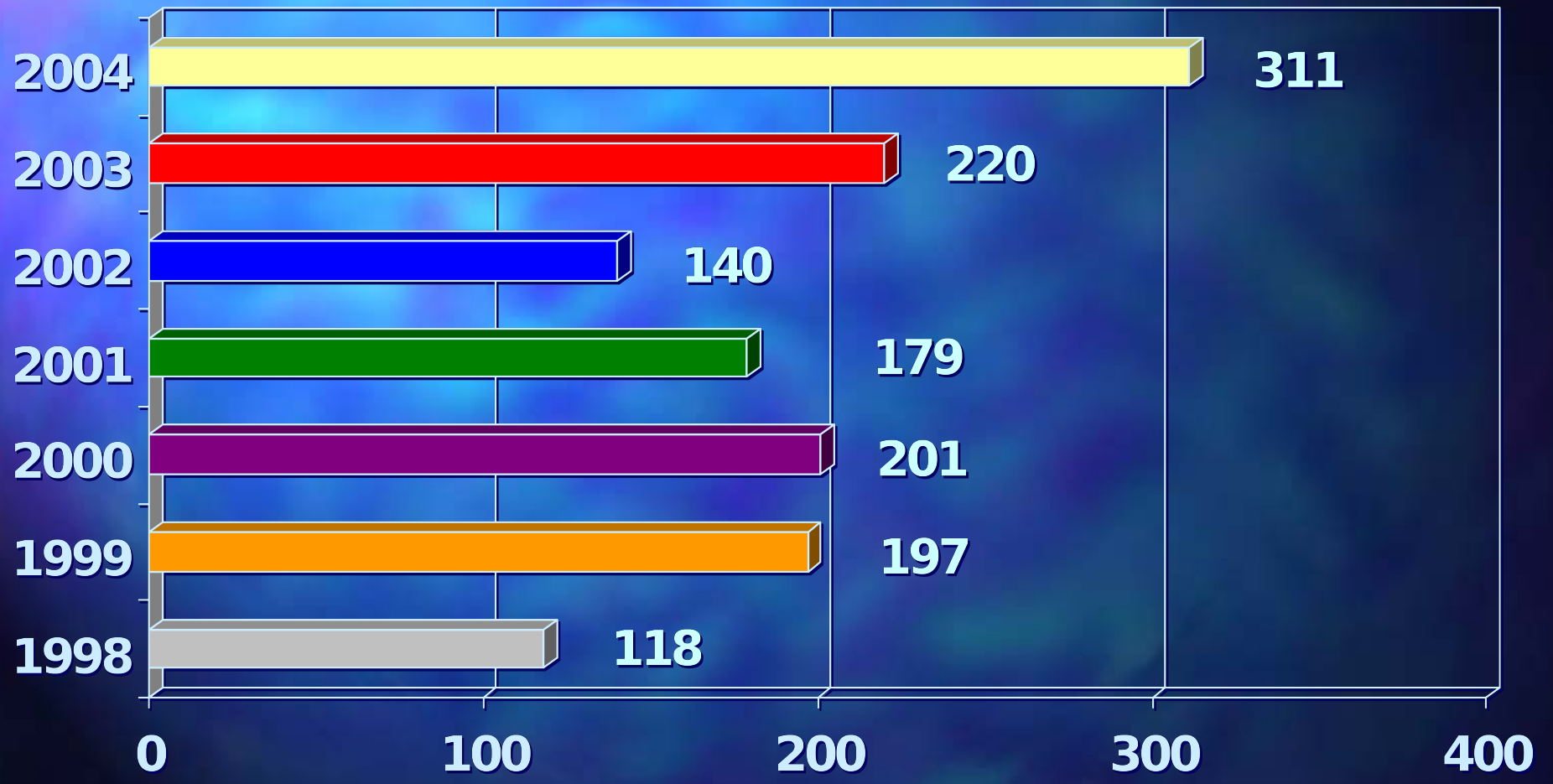
- FY 04⇨310
- FY 03⇨220
- FY 02⇨140
- 91% were requests for assistance
- 9% were allegations
- Most Frequent Categories
  - Finance & Accounting 32%
  - Military Personnel Management 32%
  - Command/Mgmt of Organizations 13%
  - Medical 8%

IGAR 3 YEAR TREND

(% of Cases Received)



IGAR 7 YEAR TREND



CONCLUSION

The Inspector General can be your best ally or ..... Your Worst

Nightmare!